

At H2O, the Risk and Compliance Team are responsible for ensuring that H2O, its employees, and its products meet the principles and rules set out by regulators.

Reporting directly to the Deputy CEO and working alongside the current existing Compliance and Risk Team Members, this role offers a great opportunity to add value to a fast growing business and gain broader exposure to all aspects of Asset Management.

Key responsibilities of this role will include:

- Reviewing marketing materials, presentations, DDQs and the H2O website to ensure compliance with FCA Regulations, AMF, SEC, CBI, CFTC & NFAs rules and principles, and the Firm's policies and procedures;
- Working with Internal and External Auditors to ensure successful completion of audits required (including guidance as to applicable regulatory requirements and checks to be performed);
- Preparing reports for Senior Management and Group Compliance on the status of Compliance topics; co-ordinating periodic compliance meetings; and ensuring preparation and review of Annual Reports on Compliance Risk Management and Risk Map;
- Reviewing new offering documents and KIIDs, periodic performance reports, marketing materials, RFPs and websites and ensuring regulatory issues are addressed;
- Monitoring regulatory developments in relevant countries and keeping management duly informed; ensuring that relevant laws, rules and regulations are identified and evaluated in a timely manner and that the practical implications are communicated to relevant staff;
- Proactively developing working practices across the business to improve performance and efficiency with regards to Compliance.

Interested? If you have some of the below attributes and experience we'd love to hear from you!

- A team player with a can-do approach.
- Experience of working in an environment impacted by multiple regulators such as AMF, FCA, SEC, CBI, CFTC & NFAs.
- Experience of working with multiple funds or products.
- Experience of working with multiple third parties and legal subsidiaries.
- Proficiency in using Microsoft Office – Word, Excel, and Power Point.
- Fluent in English, but a second language would be advantageous (French, Italian, Spanish, German or Japanese).
- A legal qualification or experience would also be advantageous.