

At H2O, the Risk and Compliance Team are responsible for ensuring that H2O, its employees, and its products meet the principles and rules set out by regulators.

Reporting directly to the Legal & Compliance Group Director and working alongside the current existing UK Compliance and Risk Team Members, this role offers a great opportunity to add value to a fast growing business and gain broader exposure to all aspects of Asset Management.

Key responsibilities of this role will include:

- Liaising with Senior Management to understand any concerns or developments from the AMF that need to be addressed or met within H2O, and ensuring these are met.
- Reviewing marketing materials, new offering documents and KIIDs, presentations, DDQs and the H2O website to ensure compliance with AMF Regulations specifically, as well as FCA, SEC, CBI, CFTC & NFAs rules and principles as needed, and the Firm's policies and procedures.
- Effectively managing all Internal and External Compliance Audits, particularly those required by the AMF, and working with internal teams across the H2O Group to drive improvement.
- Working with the appropriate teams to create and complete actions plans with regards to audit recommendations and action points, taking a proactive and pragmatic approach to improving the quality of internal compliance and controls.
- Preparing reports for Senior Management and Group Compliance on the status of Compliance topics; co-ordinating periodic compliance meetings; and ensuring preparation and review of Annual Reports on Compliance Risk Management and Risk Map;
- Actively playing a part in the overall running of the H2O Compliance program.

Interested? If you have some of the below attributes and experience we'd love to hear from you!

- Excellent written and Spoken English.
- Significant experience of working with AMF regulations
- Experience of managing internal and external audits.
- Project or Change Management experience.
- Experience of working in an environment impacted by multiple regulators and multiple funds or products.
- Experience of working with multiple third parties and legal subsidiaries.
- Proficiency in using Microsoft Office – Word, Excel, and Power Point.
- An SRC, or legal/ Compliance qualification, or comparable experience.